CAU1615

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

ROSENBERG et al.

Serial No. 09/037,792

Filed: March 10, 1998

MAR 1 5 1999 6

Art Unit: 1615

Examiner: Webman

Shurnor 4BRY

For: MULTIPHASE ACTIVE INGREDIENT-CONTAINING FORMULATIONS

MAR 2 3 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on: March 11, 1999

Date of Deposit Malcolm J. MacDonald

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Date of Signature

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

## **AMENDMENT UNDER 37 CFR 1.111**

Sir:

Responsive to the office action mailed on October 22, 1998, please amend the application identified above as follows, in accordance with 37 CFR 1.111.

## IN THE CLAIMS

Cancel claims 1-4.

Amend claims 5 and 6 as follows;

5. (amended) A process for producing [a formulation as claimed in claim 1]

the composition defined in claim 7, which process comprises plasticizing a mixture of the

components of the matrix phase by energy input, incorporating at least one other [active

ingredient-containing] phase in the form of particles homogeneously into the matrix phase 03/19/1999 RTSEGAYE 00000003 09037792

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